



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/807,465	03/24/2004	Guenther H. Ruhe	003-57

020212
THOMPSON LAMBERT
SUITE 703D, CRYSTAL PARK TWO
2121 CRYSTAL DRIVE
ARLINGTON, VA 22202

CONFIRMATION NO. 2920

FORMALITIES LETTER



OC000000012864797

Date Mailed: 06/04/2004



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$18 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$468 for a Small Entity

- | | | |
|--|---------------------------------------|-----------|
| • \$385 Statutory basic filing fee. | 07/21/2004 HGUTEMA1 00000001 10807465 | |
| • \$65 Late oath or declaration Surcharge. | 01 FC:2001 | 385.00 OP |
| | 02 FC:2202 | 18.00 OP |
| | 03 FC:2051 | 65.00 OP |
| • Total additional claim fee(s) for this application is \$18 | | |

BEST AVAILABLE COPY

- \$18 for 2 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1262

PART 2 - COPY TO BE RETURNED WITH RESPONSE

BEST AVAILABLE COPY



PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/807,465	
	Filing Date	03/24/2004	
	First Named Inventor	Guenter H. Ruhe	
	Art Unit	2125	
	Examiner Name		
Total Number of Pages in This Submission	9	Attorney Docket Number	003-57

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Signed declaration, recordal form and assignment
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Anthony R. Lambert, registration no. 32, 813		
Signature	<i>Tracy Lambert</i>		
Date	July 19/04		

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Anthony R. Lambert		
Signature	<i>Tracy Lambert</i>	Date	July 19/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents
Washington, D.C.
U.S.A. 20231

Dear Sir:

Response to Notice to File Missing Parts of Nonprovisional Application

RE:	Application Serial No.:	10/807,465
	Applicant:	Guenther H. Ruhe
	Title:	RELEASE PLANNING
	Filed:	03/24/2004
	Art Unit:	2125
	Examiner:	Not Yet Known
	Attorney Docket No.:	003-57us

This is in response to the Notice to File Missing Parts of Nonprovisional Application dated June 4, 2004. Accordingly, applicant submits the following documents:

1. Declaration for patent application signed by the applicant.
2. Fee transmittal form and credit card payment form for filing fee, late surcharge, and an assignment recordal fee.
3. Copy of Notice to File Missing Parts of Nonprovisional Application.
4. Assignment with recordal cover sheet

Respectfully submitted and signed on

July 19/04
(Date)

Anthony R. Lambert
ANTHONY R. LAMBERT
Registration No. 32,813
Customer No. 020212
AGENT OF RECORD

BEST AVAILABLE COPY



PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0851-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 508)

Complete if Known

Application Number	10/807,465
Filing Date	03/24/2004
First Named Inventor	Guenther H. Ruhe
Examiner Name	
Art Unit	2125
Attorney Docket No.	003-57

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number	12-0434
Deposit Account Name	

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	385
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ 385)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	22	Extra Claims	2	Fee from below	9	Fee Paid	18
Independent Claims		- 3** =		X		=	
Multiple Dependent							

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue Independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$ 18)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	1052 50	2052 25	Surcharge - late filing fee or oath	65
				Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	1812 2,520	2053 130	Non-English specification	
				For filing a request for ex parte reexamination	
1804 920*	2053 130	1804 920*	2053 130	Requesting publication of SIR prior to Examiner action	
				Requesting publication of SIR after Examiner action	
1805 1,840*	2053 130	1805 1,840*	2053 130	Extension for reply within first month	
				Extension for reply within second month	
1251 110	2251 55	1252 420	2252 210	Extension for reply within third month	
				Extension for reply within fourth month	
1253 950	2253 475	1254 1,480	2254 740	Extension for reply within fifth month	
				Notice of Appeal	
1255 2,010	2255 1,005	1401 330	2401 165	Filing a brief in support of an appeal	
		1402 330	2402 165	Request for oral hearing	
1401 330	2401 165	1403 290	2403 145	Petition to institute a public use proceeding	
		1451 1,510	2451 755	Petition to revive - unavoidable	
1451 1,510	2451 755	1452 110	2452 55	Petition to revive - unintentional	
		1453 1,330	2453 665	Utility issue fee (or reissue)	
1453 1,330	2453 665	1501 1,330	2501 665	Design issue fee	
1501 1,330	2501 665	1502 490	2502 245	Plant issue fee	
1502 490	2502 245	1503 840	2503 420	Petitions to the Commissioner	
1503 840	2503 420	1460 130	2460 65	Processing fee under 37 CFR 1.17(q)	
1460 130	2460 65	1807 50	2807 25	Submission of Information Disclosure Stmt	
1807 50	2807 25	1808 180	2808 90	Recording each patent assignment per property (times number of properties)	40
1808 180	2808 90	8021 40	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
8021 40	2809 385	1809 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1809 770	2810 385	1810 770	2801 385	Request for Continued Examination (RCE)	
1810 770	2801 385	1801 770	2802 900	Request for expedited examination of a design application	
1801 770	2802 900	1802 900			

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 105)

SUBMITTED BY

Name (Print/Type)	Anthony R. Lambert	Registration No. (Attorney/Agent)	32,813	Telephone	780.448.7326
Signature	<i>Anthony R. Lambert</i>	Date	July 19/04		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.